

## **ECHAM-HAMMOZ echam6.0.1-ham2.1-moz0.8: Description and reference publications**

### **General overview of the model**

- The ECHAM-HAMMOZ model version \*echam6.1.0-ham2.1-moz0.8\* is based on the ECHAM6 global climate model (Stevens et al., submitted) and the sub-model for tropospheric aerosols HAM (Stier et al., 2005; Zhang et al., 2012) and the sub-model for trace gas chemistry MOZ (Kinnison et al., 2007).
- The HAM sub-model predicts the evolution of sulfate (SU), black carbon (BC), particulate organic matter (POM), sea salt (SS), and mineral dust (DU) with the size distribution of this aerosol population being described by seven log-normal modes with prescribed variance as in the M7 aerosol module (Vignati et al., 2004; Stier et al., 2005; Zhang et al., 2012). The prognostic variables are the particle number concentration of each mode, as well as the mass concentration of each compound present in that mode.
- The MOZ sub-model describes the trace-gas chemistry for the middle atmosphere (including troposphere through the lower thermosphere). The species included within the chemical mechanism are contained within the OX, NOX, HOX, ClOX, and BrOX chemical families, along with CH<sub>4</sub> and its degradation products. Several primary non-methane hydrocarbons (NMHCs) and related oxygenated organic compounds are also included. This mechanism contains 108 species, 218 gas-phase reactions, 71 photolytic processes, and 18 heterogeneous reactions on aerosol (Kinnison et al., 2007). Note that the MOZ sub-model as provided in this release is not fit for scientific use yet. Further detailed for the sub-model will be provided in up-coming releases.

### **Emissions**

- Anthropogenic and biomass burning emissions of SO<sub>2</sub>, BC, and OC follow the latest recommendations of AEROCOM\_II ACCMIP (<http://aerocom.met.no/emissions.html>) are available from 1980-2010 and 1850 (Pre-industrial)
  - anthropogenic emissions are based on Lamarque et al., (2010)
  - biomass burning emissions are based on:
    - GICC inventory over the period 1850-1950 (Mieville et al., 2010)
    - RETRO inventory over the period 1960-1990 (Schultz et al., 2008)
    - GFED v2 for the end of the period (1997-2008) (van der Werf et al., 2006 and <http://www.falw.vu/~gwerf/GFED/GFED2/>) followed by time interpolation for 2009-2010.
    - Vertical profile, see Annex 1
- SOA emissions are prescribed according to AEROCOM as described in Dentener et al. (2006) (<http://themasites.pbl.nl/en/themisites/edgar/index.html> )
- Emissions of sea salt are computed interactively following Guelle et al. (2001) and Stier et al. (2005)
- Dust emissions are computed interactively following Stier et al. (2004) based on Tegen et al. 2002) with updated Asian soil properties as described in Cheng et al. (2008).
- Natural emissions of dimethyl sulfide (DMS) from the marine biosphere are calculated online following Kloster et al. (2006).

- Terrestrial DMS emissions are prescribed according to Pham et al. (1995).
- Aerosol emission size ranges (see annex 2)

### **Oxidant fields**

- Oxidant fields ( $\text{OH}$ ,  $\text{O}_3$ ,  $\text{NO}_3$ ,  $\text{NO}_2$ ,  $\text{H}_2\text{O}_2$ ) are a multi-year average taken from the MACC system (Flemming et al., 2009) as described in Inness et al. (in preparation).

### **Aerosol- and cloud-related schemes**

- The sulfur chemistry module is based on sulfur cycle model described in Feichter et al. (1996).
- Below-cloud scavenging by rain and snow follows Croft et al. (2009).
- In-cloud scavenging follows Stier et al. (2005).
- Aerosol water uptake scheme follows the Kappa-Koehler theory based approach following Petters and Kreidenweis (2007) as described in Zhang et al. (2012).
- The nucleation scheme follows Kazil and Lovejoy (2007) as described in Kazil et al. (2010)
- Organic nucleation scheme based on Kulmala et al. (2006) is included.
- Cloud microphysics are described with a 2-moment scheme described in Lohmann et al., (2007) and Lohmann and Hoose (2009).
- The stratiform cloud scheme consists of prognostic equations for the water phases (vapor, liquid, solid), bulk cloud microphysics (Lohmann and Roeckner, 1996), and an empirical cloud cover scheme (Sundqvist et al., 1989).
- Aerosol activation in warm clouds follows Lin and Leaitch (1997).
- Ice crystal cirrus scheme is described in Lohmann et al. (2008).
- Autoconversion of cloud droplets to rain follows Khairoutdinov and Kogan (2000).
- Immersion and contact freezing follows Lohmann and Diehl (2006).

### **Reference publications**

- Cheng, T., Y. Peng, J. Feichter, and I. Tegen (2008), An improvement on the dust emission scheme in the global aerosol-climate model ECHAM5-HAM, *Atmos. Chem. Phys.*, 8, 1105-1117.
- Cheng, T., Y. Peng, J. Feichter, and I. Tegen (2008), An improvement on the dust emission scheme in the global aerosol-climate model ECHAM5-HAM, *Atmos. Chem. Phys.*, 8, 1105–1117, doi:10.5194/acp-8-1105-2008.
- Croft,B., U. Lohmann, R.V. Martin, P. Stier, S. Wurzler, J. Feichter, R. Posselt, and S. Ferrachat (2009), Aerosol size-dependent below-cloud scavenging by rain and snow in the ECHAM5-HAM, *Atmos. Chem. Phys.*, 9, 4653-4675.
- Dentener, F., S. Kinne, T. Bond, O. Boucher, J. Cofala, S. Generoso, P. Ginoux, S. Gong, J. Hoelzemann, A. Ito, L. Marelli, J. Penner, J.-P. Putaud, C. Textor, M. Schulz, G.v.d. Werf, and J. Wilson (2006), Emissions of primary aerosol and precursor gases in the years 2000 and 1750 -prescribed data-sets for AeroCom, *Atmos. Chem. Phys.*, 6, 4321-4344.
- Feichter, J., E. Kjellström, H. Rodhe, F. Dentener, J. Lelieveld, and G.J. Roelofs (1996), Simulation of the tropospheric sulfur cycle in a global climate model, *Atmos. Environ.*, 30, 1693-1707.
- Flemming, J., A. Inness, H. Flentje, V. Huijnen, P. Moinat, M. G. Schultz, and O. Stein (2009), Coupling global chemistry transport models to ECMWF's integrated forecast system, *Geosci. Model Dev.*, 2, 253-265.
- Guell, W., M. Schulz, Y. Balkanski, and F. Dentener (2001), Influence of the source formulation on modelling the atmospheric global distribution of sea salt aerosol, *J. Geophys. Res.*, 106, 27509-27524.

- Inness, A., et al, The MACC reanalysis: An 8-year data set of atmospheric composition, in preparation for ACP.
- Kazil, J., and E.R. Lovejoy (2007), A semi-analytical method for calculating rates of new sulfate aerosol formation from the gas phase, *Atmos. Chem. Phys.*, 7, 3447-3459, doi:10.5194/acpd-7-3447-2007.
- Kazil, J., P. Stier, K. Zhang, J. Quaas, S. Kinne, D. O'Donnell, S. Rast, M. Esch, S. Ferrachat, U. Lohmann, and J. Feichter (2010), Aerosol nucleation and its role for clouds and Earth's radiative forcing in the aerosol-climate model ECHAM5-HAM, *Atmos. Chem. Phys.*, 10, 10733-10752, doi:10.5194/acpd-10-10733-2010.
- Khairoutdinov, M., and Y. Kogan (2000), A New Cloud Physics Parameterization in a Large-Eddy Simulation Model of Marine Stratocumulus, *Mon. Wea. Rev.*, 128, 229, doi:10.1175/1520-0493(2000)128(0229:ANCPPI)2.0.CO;2.
- Kinnison, D. E., et al. (2007), Sensitivity of chemical tracers to meteorological parameters in the MOZART-3 chemical transport model, *J. Geophys. Res.*, 112, D20302, doi:10.1029/2006JD007879.
- Kulmala, M., K.E.J. Lehtinen, and A. Laaksonen (2006), Cluster activation theory as an explanation of the linear dependence between formation rate of 3nm particles and sulphuric acid concentration, *Atmos. Chem. Phys.*, 6, 787-793, doi:10.5194/acpd-6-787-2006.
- Lamarque, J.-F., et al. (2010), Historical (1850–2000) gridded anthropogenic and biomass burning emissions of reactive gases and aerosols: methodology and application, *Atmos. Chem. Phys.*, 10, 7017-7039, doi:10.5194/acp-10-7017-2010.
- Lin H., and W.R. Leitch (1997), Development of an in-cloud aerosol activation parameterization for climate modelling, in WMO Workshop on Measurement of Cloud Properties for Forecasts of Weather, Air Quality and Climate, pp. 328-355, Geneva, Switzerland, World Meteorology Organization, 1997.
- Lohmann, U. and E. Roeckner (1996), Design and performance of a new cloud microphysics scheme developed for the ECHAM general circulation model, *Clim. Dyn.*, 12, 557-572.
- Lohmann, U., and C. Hoose (2009), Sensitivity studies of different aerosol indirect effects in mixed-phase clouds, *Atmos. Chem. Phys.*, 9, 8917-8934.
- Lohmann, U., and K. Diehl (2006), Sensitivity studies of the importance of dust ice nuclei for the indirect aerosol effect on stratiform mixed phase clouds, *J. Atmos. Sci.*, 63, 968-982.
- Lohmann, U., P. Spichtinger, S. Jess, T. Peter, and H. Smit (2008), Cirrus cloud formation and ice supersaturated regions in a global climate model, *Env. Res. Lett.*, 3, 045022.
- Lohmann, U., P. Stier, C. Hoose, S. Ferrachat, S. Kloster, E. Roeckner, and J. Zhang (2007), Cloud microphysics and aerosol indirect effects in the global model ECHAM5-HAM, *Atmos. Chem. Phys.*, 7, 3425-3446.
- Mieville, A., C. Granier, C. Liousse, B. Guillaume, F. Mouillot, J.-F. Lamarque, , J.-M. Grégoire, and G. Pétron (2010), Emissions of gases and particles from biomass burning using satellite data and an historical reconstruction, *Atmos. Environ.*, 44, 1469–1477, doi:10.1016/j.atmosenv.2010.01.011.
- Petters, M.D., and S.M. Kreidenweis (2007), A single parameter representation of hygroscopic growth and cloud condensation nucleus activity, *Atmos. Chem. Phys.*, 7, 1961-1971, doi:10.5194/acpd-7-1961-2007.
- Pham, M., J.-F. Müller, G. P. Brasseur, C. Granier, and G. Mégie (1995), A three-dimensional study of the tropospheric sulfur cycle, *J. Geophys. Res.*, 100(D12), 26,061–26,092, doi:10.1029/95JD02095.
- Schultz, M. G., Heil, A., Hoelzemann, J. J., Spessa, A., Thonicke, K., Goldammer, J., Held, A. C., Pereira, J. M., and van het Bolscher, M. (2008): Global wildland fire emissions from 1960 to 2000, *Global Biogeochem. Cyc.*, 22, GB2002, doi:10.1029/2007GB003031.
- Smith, M., and N. Harrison (1998), The sea spray generation function, *J. Aerosol Sci.*, 29, 189-190.
- Stevens, B., Giorgetta, M. A., Esch, M., Mauritsen, T., Crueger, T., Rast, S., Salzmann, M., Schmidt, H., Bader, J., Block, K., Brokopf, R., Fast, I., Kinne, S., Kornblueh, L., Lohmann, U., Pincus, R., Reichler, T., & Roeckner, E., The atmospheric component of the MPI-M Earth System Model: ECHAM6, submitted
- Stier, P., J. Feichter, S. Kinne, S. Kloster, E. Vignati, J. Wilson, L. Ganzeveld, I. Tegen, M. Werner, Y. Balkanski, M. Schulz, O. Boucher, A. Minikin, and A. Petzold (2005), The aerosol-climate model ECHAM5-HAM, *Atmos. Chem. Phys.*, 5, 1125-1156, 2005.
- Sundqvist, H., E. Berge and J.E. Kristjansson (1989), Condensation and Cloud Parameterization Studies with a Mesoscale Numerical Weather Prediction Model, *Mon. Wea. Rev.*, 117, 1641-1657.
- Tegen, I., S.P. Harrison, K. Kohfeld, I. C. Prentice, M. Coe and M. Heimann (2002), Impact of vegetation and preferential source areas on global dust aerosol: Results from a model study, *J. Geophys. Res.*, 107,

4567-4597.

van der Werf, G. R., J. T. Randerson, L. Giglio, G. J. Collatz, P. S. Kasibhatla, and A. F. Arellano Jr. (2006), Interannual variability in global biomass burning emissions from 1997 to 2004, *Atmos. Chem. Phys.*, 6, 3423-3441.

Vignati, E., J. Wilson, and P. Stier (2004), M7: An efficient size-resolved aerosol microphysics module for large-scale aerosol transport models, *J. Geophys. Res.*, 109, D22202, doi:10.1029/2003JD004485.

Zhang K., D. O'Donnell, J. Kazil, P. Stier, S. Kinne, U. Lohmann, S. Ferrachat, B. Croft, J. Quaas, H. Wan, S. Rast, and J. Feichter (2012), The global aerosol-climate model ECHAM-HAM, version 2: sensitivity to improvements in process representations, *Atmos. Chem. Phys.*, 12, 8911-8949, doi:10.5194/acp-12-8911-2012.

#### **Annex 1: Biomass burning vertical resolution**

2D fire emissions are injected both within and above the PBL with the following percentages:

- 75 % within the PBL (equally distributed in all levels within the PBL)
- 17 % in the first level above the PBL
- 8 % in the second level above the PBL

In case the PBL depth is higher than 4km, then the 2D fire emissions are only injected within the PBL (equally distributed in all levels within the PBL).

The reference paper for this parameterization is the following:

Title: Smoke injection heights from fires in North America: analysis of 5 years of satellite observations

Author(s): Martin M. Val; Logan J. A.; Kahn R. A.; et al.

Source: ATMOSPHERIC CHEMISTRY AND PHYSICS Volume: 10 Issue: 4 Pages: 1491-1510 Published: 2010

## **Annex 2: Aerosol emission size ranges**

Modes:

Nucleation Mode ( $r < 0.005 \mu\text{m}$ ), soluble (NS)

Aitken Mode ( $0.005 \mu\text{m} < r < 0.05 \mu\text{m}$ ), soluble (KS) and insoluble (KI)

Accumulation Mode ( $0.05 \mu\text{m} < r < 0.5 \mu\text{m}$ ), soluble (AS) and insoluble (AI)

Coarse Mode ( $r > 0.5 \mu\text{m}$ ), soluble (CS) and insoluble (CI)

AGRICULTURAL WASTE BURNING, DOMESTIC, FOREST FIRE, GRASS FIRE,

BC --> KI

OC --> 0.35\*KI, 0.65\*KS

SO<sub>4</sub> --> 0.5\*KS, 0.5\*AS

AIRCRAFT

BC --> KI

BIOGENIC (when prescribed SOA are used)

OC --> 0.35\*KI, 0.65\*KS

ENERGY, SHIPS

BC --> KI

OC --> KI

SO<sub>4</sub> --> 0.5\*AS, 0.5\*CS

INDUSTRIAL, TRANSPORT, WASTE

BC --> KI

OC --> KI

SO<sub>4</sub> (2.5% SO<sub>2</sub>) --> 0.5\*KS, 0.5\*AS

VOLCANIC

SO<sub>4</sub> (2.5% SO<sub>2</sub>) --> 0.5\*KS, 0.5\*AS

DUST

DU --> AI, CI (distributed accordingly to the dust scheme)

SEASALT

SS --> AS, CS (distributed accordingly to the seasalt scheme)